

Practice Groups

Financial Institutions

Rhoads & Sinon LLP blends experience with innovation to represent community and regional financial institutions in a rapidly evolving industry.

Our attorneys possess extensive experience gained as general counsel and as special counsel on a specific project or transaction basis, in such matters as:

- Federal and state regulatory compliance,
- Formation and expansion of depository
- Institutions/holding companies/operating and nonbank subsidiaries,
- Corporate governance,
- Audit Committee,
- Mergers and acquisitions,
- Capital raising,
- Proxy solicitation,
- SEC reporting,
- Insider trading,
- Sales of non-deposit investment products and services,
- Securities brokerage,
- Investment management,
- Mutual funds,
- Insurance agency activities,
- Trust services,
- Loan origination,
- Structured finance,
- Employee benefits,
- Executive compensation,
- Human resources,
- Workouts, Restructuring, Bankruptcies, and
- Complex litigation

Practice Group Attorneys:

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